21101988

QP CODE: 21101988

Reg No : .....

Name : .....

B.COM DEGREE (CBCS)EXAMINATION, AUGUST 2021

Third Semester

Core Course - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

2017 Admission Onwards

7E348FA2

Time: 3 Hours Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. What is financial engineering?
2. Explain 'MUDRA'.
3. What do you understand from 'Gilt Edged Security'?
4. What is Prospectus?
5. What is meant by qualified institutional placement?
6. What is Stock Exchange?
7. What is meant by 'Floor broker?
8. Differentiate Bull and Bear.
9. What is funds of funds?
10. Explain any two drawbacks of mutual fund investment.
11. Make a short note on speculation using derivatives.
12. Differentiate maintainance margin from variation margin in 'Futures Contracts'?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. What are the tools used by the RBI for monitoring money market?

14. Give an overview of major features of 'Commercial Paper'.

15. Explain the process of book building.

16. What is meant by a depository? Make a short note on depositories in India.

17. "Market capitalization is used as weightage in developing a stock index". Examine the statement.

18. List out the advantages of 'Exchange Trade Funds'.

19. "AMFI is responsible for ensuring professional ethics in mutual fund industry". Elaborate.

20. What are the different types of commodity derivatives traded in India?

21. What is meant by moneyness of options contracts? Elaborate different types of moneyness in an options market.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. The role of SEBI is developmental and regulatory - Discuss.

23. Write a note on the functions of merchant banker in public issue.

24. One of your friends, who is not familier with stock trading, asks you about the process of trading in stock market. How will you explain the process to him?

25. Briefly explain the role of Trustees in the Constitution and Mangement of Mututal Funds in India?

(2×15=30)